

09/23/03
17236 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GUANN-PYNG LI, MARK BACHMAN, and ABRAHAM P. LEE

AN INTEGRATED, INTELLIGENT MICRO-
INSTRUMENTATION PLATFORM FOR PROTEIN
CRYSTALLIZATION

22264 U.S. PTO
10/669231
09/23/03

Applicants

Title

Docket No. : 705358.4022

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

UTILITY PATENT APPLICATION TRANSMITTAL

1. Type of Application
This new application is for a(n)
☒ Original (non provisional)
☐ Design
☐ Plant
2. ☐ Applicant claims priority to _____ filed _____
3. ☐ Applicant claims small entity status. See 37 CFR 1.27
4. ☒ Specification, including Description, Claims and Abstract (Total Page: 21)
5. ☒ Drawing(s) (35 USC 113) (Total sheets: 6)
6. Oath or Declaration
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
i. ☐ Deletion of Inventors (Signed statement attached deleting inventor(s) named in the prior application.) See 37 CFR 1.63(d)(2) and 133 (b).
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if application, all necessary)
a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on:

CERTIFICATE OF MAILING
37 CFR §1.10

Date: September 23, 2003

Express Mailing Label No.: EV 342473092 US

I hereby certify that on the dated listed above, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service in accordance with 37 C.F.R. § 1.10 as "Express Mail Post Office to Addressee," with sufficient postage in an envelope addressed to: Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mary L. Smith
Mary L. Smith

Applicant : Guann-Pyng LI et al.
Docket No. : 703538.4022

- i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statement verifying identity of above copies
10. ☐ An assignment of the invention to The Regents of the University of California is attached. A separate ☐ "COVERSHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.
☐ will follow.
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) (Power of Attorney by Assignee)
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement/PTO 1449 (or PTO/SB/08a)
☐ Copies of citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s) (if foreign priority is claims)
17. ☐ Non-publication Request under 35 USC 122 (b)(2)(B)(i) (Applicant must attach form PTO/SB/35 or its equivalent)
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. _____, filed _____.

Prior application information:

Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


Applicant : Guann-Pyng LI et al.
Docket No. : 703538.4022

19. Fee Calculation: TOTAL AMOUNT OF PAYMENT: \$750.00
- A. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 15-0665.
- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 to Deposit Account No. 15-0665.
- B. ☐ Payment Enclosed
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

BASIC FILING FEE:							\$750.00
Total Claims	3	-	20	=	0	x \$18.00	0.00
Independent Claims	2	-	3	=	1	x \$84.00	0.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>		\$ 0.00
TOTAL OF ABOVE CALCULATIONS							\$750.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.							
<input type="checkbox"/>							
Assignment -- \$40 (if applicable)							\$0.00
TOTAL FEES SUBMITTED							\$750.00

20. Correspondence Address
☒ Customer Number. 34313

Respectfully submitted,


Kenneth S. Roberts
Registration No. 38,283

Dated: September 23, 2003

ORRICK, HERRINGTON & SUTCLIFFE LLP
4 Park Plaza, Suite 1600
Irvine, CA 92614-2558
Telephone: (949) 567-6700
Facsimile: (949) 567-6710